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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office unless it displays a valid OMB control number.

Attorney Docket No. 0732.66933  
First Inventor Bantz, Shannon R.  
Title Cascading tub filler and overflow assembly  
Express Mail Label No. EL 846225421 US

PTO  
10/672147



## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27. [Total Pages 12]
3. ☒ Specification  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]  
[Total Pages 16]
5. Oath or Declaration
  - a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - b. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Mail stop patent application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i)- Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_
- Prior application information: Examiner \_\_\_\_\_ Group Art Unit, \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 08668 (insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

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GREER, BURNS & CRAIN Ltd.  
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City Chicago State IL Zip Code 60606  
Country USA Telephone 312 987 2914 Fax 312 360 9415  
Registration No. (Attorney/Agent) 22,992  
Name (Print/Type) Philip M. Kolehmainen Date 25 Sep 03  
Signature *Philip M. Kolehmainen*

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

18351 U.S. PTO  
09/25/03

PTO/SB/17 (11-00)

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision,

TOTAL AMOUNT OF PAYMENT

\$790.00

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   |                   |
| Filing Date          | Herewith          |
| First Named Inventor | Bantz, Shannon R. |
| Examiner Name        |                   |
| Group Art Unit       |                   |
| Attorney Docket No.  | 0732.66933        |

## FEE CALCULATION (continued)

1 ☒ The Commissioner is hereby authorized to charge indicated and credit any overpayments to;

Deposit Account Number 50-1967  
Deposit Account Name Philip M. Kolehmainen

☒ Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### BASIC FILING FEE

| Large Entity    | Small Entity           | Fee Description | Fee Paid |
|-----------------|------------------------|-----------------|----------|
| Code (\$)       | Code (\$)              |                 |          |
| 101 750 201 375 | Utility filing fee     |                 | \$750.00 |
| 106 320 206 160 | Design filing fee      |                 |          |
| 107 520 207 260 | Plant filing fee       |                 |          |
| 108 750 208 375 | Reissue filing fee     |                 |          |
| 114 150 214 75  | Provisional filing fee |                 |          |

SUBTOTAL (1) \$750.00

### EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
|                    | -20** =      | X              | \$0.00   |
| Independent Claims | -3** =       | X              | \$0.00   |
| Multiple Dependent |              |                |          |

| Large Entity    | Small Entity   | Fee Description | Fee Paid |
|-----------------|--|-----------------|----------|
| Code (\$)       | Code (\$)  |                 |          |
| 103 18 203 9    | Claims in excess of 20                                     |                 |          |
| 102 84 202 42   | Independent claims in excess of 3                          |                 |          |
| 104 270 204 135 | Multiple dependent claim, if not paid                      |                 |          |
| 109 80 209 40   | ** Reissue independent claims original patent              |                 |          |
| 110 18 210 9    | ** Reissue claims in excess of 20 and over original patent |                 |          |

SUBTOTAL (2) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

| Large Entity          | Small Entity   | Fee Description | Fee Paid |
|-----------------------|--|-----------------|----------|
| Code (\$)             | Code (\$)  |                 |          |
| 105 130 205 65        | Surcharge - late filing fee or oath  |                 |          |
| 127 50 227 25         | Surcharge - late provisional filing fee or cover sheet                     |                 |          |
| 139 130 139 130       | Non-English specification  |                 |          |
| 147 2,520 147 2,520   | For filing a request for ex parte reexamination                            |                 |          |
| 112 920* 112 920*     | Requesting publication of SIR prior to Examiner action                     |                 |          |
| 113 1,840* 113 1,840* | Requesting publication of SIR after Examiner action                        |                 |          |
| 115 110 215 55        | Extension for reply within first month                                     |                 |          |
| 116 410 216 205       | Extension for reply within second month                                    |                 |          |
| 117 930 217 465       | Extension for reply within third month                                     |                 |          |
| 118 1450 218 725      | Extension for reply within fourth month                                    |                 |          |
| 128 1,970 228 985     | Extension for reply within fifth month                                     |                 |          |
| 119 310 219 155       | Notice of Appeal   |                 |          |
| 120 310 220 155       | Filing a brief in support of an appeal                                     |                 |          |
| 121 270 221 135       | Request for oral hearing   |                 |          |
| 138 1,510 38 1,510    | Petition to institute a public use proceeding                              |                 |          |
| 140 110 240 55        | Petition to revive - unavoidable   |                 |          |
| 141 1,300 241 650     | Petition to revive - unintentional   |                 |          |
| 142 1,300 242 650     | Utility issue fee or reissue   |                 |          |
| 143 470 243 235       | Design issue fee   |                 |          |
| 144 630 244 315       | Plant issue fee  |                 |          |
| 122 130 122 130       | Petitions to the Commissioner  |                 |          |
| 123 50 123 50         | Processing fee under 37 CFR 1.17(q)  |                 |          |
| 126 180 126 180       | Submission of Information Disclosure Stmt                                  |                 |          |
| 581 40 581 40         | Recording each patent assignment per property (times number of properties) |                 | \$40.00  |
| 146 750 246 375       | Filing a submission after final rejection (37 CFR § 1.129(a))              |                 |          |
| 149 750 249 375       | For each additional invention to be examined (37 CFR § 1.129(b))           |                 |          |
| 179 750 279 375       | Request for continued Examination (RCE)                                    |                 |          |
| 169 900 169 900       | Request for expedited examination of a design application                  |                 |          |

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

## SUBMITTED BY

Complete if applicable

|                   |                              |                  |        |           |              |
|-------------------|------------------------------|------------------|--------|-----------|--------------|
| Name (Print Type) | Philip M. Kolehmainen        | Registration No. | 22,992 | Telephone | 312-987-2914 |
| Signature         | <i>Philip M. Kolehmainen</i> | Attorney/Agent   |        | Date      | 25 Sep 03    |